

### SECURITIES SETTLEMENT INSTRUCTION FORM FILLING GUIDE

#### 證券交收指示表格填寫指引

To deposit / withdraw any securities from any securities firm / bank ( refer to as "counterparty" ) to your account with Mont Avenir Capital Limited ( "Mont Avenir" or "us" ) through Central Clearing and Settlement System ( "CCASS" ), you shall:

- 1) complete and sign the "Securities Settlement Instruction Form" of Mont Avenir;
- 2) send the original copy of the duly completed and signed form to us by post or in person;
- 3) advise the counterparty of the intended share transfer to / from us. The settlement instruction information is:

Custodian of Hong Kong Securities:	Hong Kong Securities Clearing Company Limited
Participant Name :	Mont Avenir Capital Limited
CCASS Participant ID:	B02186
Settlement Department – Tel:	(852) 3105 1014

#### \*\*Remarks for deposit of securities\*\*

- Please submit a separate Form for each counterparty, from which you are transferring securities.
- Your account name with each counterparty must be identical to the account name which you maintained with Mont Avenir.
- Mont Avenir will handle the instruction(s) on the same business day ONLY if the instruction(s) is/are received before 1:00 p.m. of a trading day. Otherwise, the instruction(s) will be handled on the next trading day.
- The relevant securities will ONLY be reflected in your account with us once the settlement process is completed.
- You may ONLY sell the securities after the relevant securities has been reflected in your account with us.

如閣下欲透過中央結算系統從任何證券公司 / 銀行戶口 (下稱為「交收對手」) 存入任何證券於其在未來金融有限公司 (「未來金融」或「本公司」) 開立的戶口，或從該未來金融戶口提取任何證券至其於任何證券公司 / 銀行戶口，閣下須依照以下程序辦理有關手續：

- 1) 填妥未來金融之「證券交收指示」表格；
- 2) 將已填妥及簽署後的表格正本郵寄或親身遞交到本公司；
- 3) 閣下亦須通知交收對手此證券調撥，有關交收資料：

香港股票托管商：	香港中央結算有限公司
參與者名稱：	未來金融有限公司
中央結算系統參與者編號：	B02186
證券交收部 – 電話：	(852) 3105 1014

#### \*\*存入證券/投資產品注意事項\*\*

- 如要將多間交收對手之證券轉至未來金融，請分別就每一間交收對手填寫一張交收指示。
- 閣下在交收對手之戶口名稱必須與閣下在未來金融之戶口名稱相同。
- 於交易日下午 1:00 前收到的交收指示，本公司會即日處理，而下午 1:00 後收到的交收指示將被安排於下一個交易日處理。
- 僅當交收程序完成後，有關證券才會記帳在閣下於未來金融開立戶口內。
- 當有關證券記帳在閣下於未來金融開立戶口後，閣下才可以賣出有關證券。